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Confirmation of VQF SRO and VQF BOVV Membership

We are pleased to confirm herewith that

A.J.K. Bureau of Consultants (Schweiz) AG
Limmatquai 50
8001 Zürich
Member No. 12501

is a member of the VQF Self-regulatory Organisation for Combating Money Laundering and Terrorist Financing (VQF SRO) since 12.03.2007 and a member of VQF Industry Organisation for Asset Managers in regard to the practice of independent asset management (VQF BOVV) since 05.04.2012.

The VQF SRO is officially recognised, regulated and supervised by the Swiss Financial Market Supervisory Authority (FINMA), whereby this system of supervision denotes (statutorily) controlled self-regulation. This Swiss self-regulatory system has been assessed, and confirmed to be equivalent in accordance with a national review by the Financial Action Task Force on Money Laundering (FATF) and has been deemed to be equivalent to a system directly regulated by the government.

The VQF SRO is specifically responsible for implementing the obligations of its members in accordance with anti-money laundering law, controlling members regarding compliance with these obligations and the regulations adopted by VQF SRO, and for imposing appropriate sanctions and measures in the event of violation of said obligations and regulations.

The rules of conduct of VQF BOVV were officially recognised by the Swiss Financial Market Supervisory Authority (FINMA). These rules of conduct regulate the obligations of asset managers with the aim of protecting the investor (e.g. content of asset management contract).

The VQF SRO and VQF BOVV verify compliance with the obligations defined for their respective areas in accordance with the minimum requirements of FINMA and impose appropriate sanctions and measures in the event of any violation thereof.

VQF supervises its SRO and BOVV members in its role as SRO for combating money laundering and terrorist financing, and in its capacity as BOVV regarding the practice of asset management, and thus in the field of investor protection.

Kind regards,

VQF
Financial Services Standards Association



Nicolas Ramelet
CEO



Kathrin Scholl
Head Legal & Compliance